

LINCOLN AND THE CONSTITUTION

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Spring, 2024

I. Lincoln's early years

- A. Small-town lawyer
- B. Member of Whig party
- C. No detectable constitutional philosophy during this time

II. Slavery and the Constitution

- A. Fugitive Slave Clause (Art. IV, Sec. 2)
- B. Three Fifths Clause (Art. I Sec. 2)
- C. Slave trade protection (Art. I, Sec. 9)
- D. Pre-war consensus: slavery was a local (not a federal) matter

III. Slavery in the Territories

- A. Open constitutional question – source of much dispute
- B. The Missouri Compromise (1820)
- C. The Compromise of 1850 (popular sovereignty doctrine)
- D. Kansas – Nebraska Act (1854) (repeals the Missouri Compromise)

IV. Lincoln's opposition to the Kansas-Nebraska Act

V. Lincoln's opposition to slavery

VI. Lincoln's constitutional argument against slavery

- A. Framers were, at heart, anti-slavery
- B. Declaration of Independence sets out our nation's moral vision
- C. Picture of apple of gold in a silver frame

VII. The Dred Scott Case

- A. Worst Supreme Court decision of all time?
- B. Holding #1: Blacks are not citizens
- C. Holding #2: Congress cannot prohibit slavery in the territories
- D. Chief Justice Roger Taney develops theory of "substantive due process"

VIII. Lincoln's Reaction to Dred Scott Decision

- A. Purposes of Supreme Court opinions
 - 1. Resolve specific case – (Supreme Court cannot be defied)
 - 2. Provide guidance for future cases – (Supreme Court can be challenged)
- B. Legitimacy of Court’s guidance depends on facts and circumstances
- C. Constitutional interpretation not sole prerogative of Supreme Court
- D. Open question: do all aspects of Supreme Court decisions have to be totally accepted?

IX. Lincoln-Douglas Debates (Fall, 1858)

- A. Douglas: “Lincoln wants immediate and total equality for blacks”
- B. Lincoln sticks by his belief in the Declaration of Independence
- C. But argues that being anti-slavery does not imply he was for total equality
 - 1. Opposes immediate social and political equality between the races
 - 2. Lincoln’s solution: send ex-slaves back to Africa
- D. Lincoln: Declaration of Independence is all about long-term potential

X. The Election of 1860

- A. Republicans are anti-slavery, but not for interfering with existing slave states
- B. Four person race
- C. Lincoln sweeps electoral college, but gets narrow popular vote win

XI. The Gap Period (November, 1860 – March, 1861)

- A. President Buchanan’s inaction
- B. Proposed 13th Amendment: the Corwin Amendment
- C. Major distinction between Corwin Amendment and actual 13th Amendment

XII. Lincoln’s First Inaugural Address

- A. Tries to reassure the South
- B. Argues that secession is unconstitutional
 - 1. All governments (and the Union) are perpetual
 - 2. Our Union predated the Constitution
 - 3. Election results must be respected
 - 4. Allowing secession means our form of government is impossible
 - 5. Secession is the essence of anarchy
- C. “I will do my job”
- D. Plea for reconciliation (“give me a chance”)

XIII. The South’s Compact Theory

- A. States predated – and survived after – the Constitution

XIV. The Matter is Resolved

- A. Appomattox Court House
- B. Supreme Court (Texas v. White) (1869)
- C. Split decision on status of states
 1. Jefferson Davis was correct – states were independent before the Constitution was adopted (Article VII)
 2. Lincoln was correct – states were no longer independent after the Constitution was adopted (Supremacy Clause)

XV. Lincoln Takes Strong Executive Actions (Spring, 1861)

- A. Congress not in session
- B. Some actions were clearly authorized by law or the Constitution
- C. Many actions, however, were constitutionally suspect

XVI. Bases for Lincoln’s Constitutionally Suspect Actions

- A. Oath of Office
 1. Lincoln’s view: Constitution = Union
 2. Oath justifies him taking emergency actions (but not with respect to slavery)
 3. Union replaces Declaration of Independence as Lincoln’s lodestar
- B. “Take Care” clause
- C. Secession cannot be tolerated – it would be the end of representative gov’t
- D. Congress and Supreme Court ratify Lincoln’s actions

XVII. The Prize Cases (March, 1863)

- A. Civil wars are often not declared wars
- B. President must, at times, act to defend the country without Congress’ approval
- C. Confederacy was not a “country”
- D. Constitution is not a suicide pact

XVIII. Lincoln and Habeas Corpus

- A. Authorized Gen. Scott to suspend habeas corpus “if the public safety required it”
- B. One of our oldest rights
- C. Article I, sec. 9: unclear who can suspend it (Congress or the President?)
- D. Lincoln says: “I can”
- E. Lincoln’s use of constitutional silence
- F. The Merryman Case
 1. Taney’s opinion: President cannot do this
 2. Lincoln’s reaction: Chief Executive must prioritize enforcement sequence
 3. Congress ratifies Lincoln’s suspensions

XIX. Emancipation Proclamation

- A. Deteriorating war situation
 1. Prompts consideration of freeing some slaves
 2. Slaves: a military asset

- B. Freed slaves only in Confederate-controlled territory
- C. Source of Lincoln's power: Article II – the Commander-in-Chief clause
- D. Issued as a matter of military necessity; not on moral grounds
- E. Changed focus of the War
- F. A powerful – but unstable – executive order
- G. Leads to adoption of the 13th Amendment
- H. Lincoln's "Order of Retaliation" – a "bad" executive order

XX. Civil Liberties During Wartime

- A. The Vallandigham Case
- B. Limits on free speech during wartime?
- C. Lincoln's June 12, 1863, letter
 1. Constitution operates differently during war-time
 2. Pre-emptive arrests permissible

XXI. Lincoln's Constitutional Legacy

- A. An indissoluble Union
- B. A strong President during times of crisis
- C. Constantly striving for equality
- D. A flexible Constitution

RECOMMENDED READING

Dirck, Brian R., **Lincoln and the Constitution**

Farber, Daniel, **Lincoln's Constitution**

Widmer, Ted, **Lincoln on the Verge**

